

# Business Continuity Plan

## Altera Investments, LLC

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### Altera Investments Summary of Business Continuity Planning

At Altera, our goal is to put our investors first in every aspect of our business. We do this by planning how we will meet your needs during an unexpected business disruption, such as natural disasters, power outages and other extraordinary events. We have created a business continuity plan that outlines the steps we will take if a significant disruption to our business arises, so that you can continue to receive essential services from us or one of our third-party service providers. Securities and futures regulations require certain subsidiaries of Altera Investments, including Altera Private Access, LLC, and Altera Funds, LLC., to provide you with basic information about our business continuity plan. Since the timing and impact of any such disruptions are unpredictable, our plan has been designed to be flexible in its response to events as they may occur. The following highlights and summarizes our plan.

### Communicating with Us

Under normal conditions, you can contact us by (1) telephone at (404) 537-2759, Mon-Fri, 8:30am-5:30pm Eastern Time, (2) email at [investor-relations@alteraprivate.com](mailto:investor-relations@alteraprivate.com), (3) fax (470) 867-6401, and (4) US mail and in person at our Sandy Springs, Georgia office at 10 Glenlake Parkway NE, North Tower Suite 1020, Sandy Springs, GA 30328. If, after a significant business disruption, you cannot contact us by any of these standard methods, you can check our website at <http://www.alteraprivate.com/> to find alternate or temporary contact information and updated information on what we are doing to quickly recover and resume business. Our plan provides for immediate contact of service providers deemed critical to ongoing operations, and therefore the service providers will be apprised on our current situation and immediate continuity plans. If you are unable to contact the appropriate Altera company by any of the above means, you may contact other service providers in respect of your investments as follows: For fund investments made through Altera Funds, contact the general partner, managing member, trustee, sponsor or other manager of your non-Altera sponsored fund investments directly with inquiries such as balances, redemptions or other requests. These entities maintain account records, in most cases in a geographically separate area from where Altera maintains duplicate account records for each fund investment made by its investors. The contact information for each of your fund investments will appear on the periodic account statements sent to you directly by each fund. With respect to private fund and commodity pool investments in funds sponsored by Altera Funds (an affiliate of Altera Investments), you may contact FD Fund Administration, the funds' administrator for any immediate needs or information. FD Fund Administration may be contacted at [FDIR@fd-fa.com](mailto:FDIR@fd-fa.com) or 215-252-9460. All investor documents including, but not limited to, subscription booklets, reporting, capital call notices, and distribution notices are maintained in an investor portal that can be accessed at <https://icx.efrontcloud.com/altera/login.aspx> using the email address provided with each investment.

### Our Business Continuity Plan

We intend to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and taking the necessary steps to allow our investors to continue transacting business as normal. In short, our plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption. Our plan addresses: data back-up and recovery; all mission critical systems; financial and operational assessments; alternative communications with investors, employees, and regulators; alternative operating location for employees; critical supplier/vendor, contractor, and bank impact; regulatory reporting; and assuring our investors have prompt access to funds and accounts held elsewhere if we are unable to continue our business.

### Types of Significant Business Disruptions

Significant business disruptions can vary in their scope, such as those affecting (i) only our office in Sandy Springs, Georgia, (ii) the city of Sandy Springs, or (iii) Atlanta, Georgia. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In the event of a significant disruption, whether local or regional, we may transfer operations to an off-site location as necessary to recover and resume business operations as quickly as possible, in most instances within 24 hours. It is important to note neither Altera Investments LLC or any of its affiliates maintains custody of your funds or securities. All funds are maintained with specific critical business constituents, a list of which is maintained by Altera Investments LLC. If the significant business disruption is so severe that it prevents us from remaining in business, your prompt access to, and information about, your funds and securities will continue to be available to you directly from the clearing firms, service providers, custodians, and/or third-party funds that maintain your assets.

### More Information

The preceding description is a general overview of Altera's plan. If you would like more information, we would be happy to help you. Please contact us by phone at (404) 537-2759 Mon-Fri from 9:00 am to 5:00 pm Eastern Time or by e-mail at [investor-relations@alteraprivate.com](mailto:investor-relations@alteraprivate.com).